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# Statistical Examination of Survey Data of Tama Frailty Preventive Project

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## **Abstract**

**Purpose:** Frailty in elderly people is one of major medical problems in Japan. Early discovery of frailty and prevention of its progress are crucial issues in public health. Tama City, a suburban city in Tokyo, launched "Tama Frailty Prevention Project (TFPP)" in 2017. This study aimed to evaluate the effectiveness of the checklist about frailty used in TFPP.

**Methods:** Subjects were 631 elderly people (male: 193, female: 438; average age: 77.3±6.4 years old), living in Tama City, who participated in the meetings for evaluation of frailty held by TFPP. Correlation analysis and factor analysis were performed to the obtained data.

**Results and Discussion:** Factor analysis was applied to 7 major categories in the checklist. Categories related to social life such as "Healthy life", "Social participation", "Cognitive function" and "Vitality state" were extracted as factor 1. "Motor function" was extracted as factor 2 and categories related to dietary habit such as "Nutrition status" and "Oral function" was extracted as factor 3.

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#### Introduction

In recent years, Japan has become an aging society. According to statistics for 2019, the elderly (65 years old and over) population was 35.73 million, and the ratio of the elderly to the total population was 28.9% [1]. While the elderly population ratio of Tokyo prefecture as a whole was 23.8%, the ratio of Tama City, which is located on the western outskirts of Tokyo, was 29.9%, higher than the national average [2].

As a person ages, he becomes more likely to need nursing care due to a decline in mental and physical abilities such as muscle strength [3], nutritional status [4], and cognitive functions, resulting in inadequate living functions and diminished social connections [5,6]. The increase in the number of these elderlies needing nursing care has become a major issue in welfare and public health [7,8].

Tama City, launched the Tama Frailty Preventive Project (TFPP) in 2017 to decrease the need for nursing care by early detection of frailty, which is a pre-stage of needing nursing care, for elderly people living in Tama City.

The Ministry of Health, Labor and Welfare in Japan has been providing a program for prevention of the need of care since 2006 using a Basic Checklist (BC) [9] to screen elderly people who do not receive long-term care insurance but are at high risk [10,11]. In the BC, you are asked to answer "yes" or "no" to 25 questions about living conditions and physical and mental functions. The 25 question items cover 7 categories and consist of 5 items for activities of daily living, 5 for motor function, 2 for nutritional status, 3 for oral function, 2 for withdrawal, 3 for cognitive function and 5 for depressed state.

TFPP has developed the Frailty First Checklist (FFC) as a checklist for grasping the status of the frailty and pre-frailty more easily than the BC. The FFC consists of 13 items in 7 categories (Table 1).

The 7 categories in FFC almost correspond to those in the BC. In other words, 2 items for "General life", 5 for "Motor function, 2 for "Nutrition status", 1 for "Oral function", 1 for "Cognitive function", 1 for "Social participation", and 1 for "Mental state". If two or more of these 13 items are considered to be possible frail/pre-frail signs, the person is asked to answer the BC (referred to as "second check" in the TFPP) to grasp the physical and mental states in detail. However, Tama City's TFPP has been in operation for only about three years, and its evaluation, especially the effectiveness of its own FFC, has not been assessed.

In this study, we analyzed the results obtained at the TFPP measurement meetings for Tama City's unique checklist, FFC, and examined the validity of question items and category classifications using factor analysis and correlation analysis.

# Methods

This study was approved by the Ethics committee of Kokushikan University (approval #20001). We explained the contents of the study to participants both verbally and in writing. Written informed consent was obtained from the participants enrolled in this study. The analysis was based on the results of the TFPP survey conducted from April 2018 to the end of March 2019. The subjects were 631 (193 male and 438 female) residents of Tama City who participated in the TFPP. The average age was  $77.3 \pm 6.4$  years.

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The No. 11 "Yubi-wakka (finger ring) test" in the FFC tests whether the center of the calf can be surrounded by the thumb and forefinger of both hands [12,13]. For statistical analysis, the answer in the left column in Table 1 was assigned to 0 value and the answer in the right column was assigned to one.

For analysis on the category, the total sum of the question items in each category was used as data.

The factor analysis and the calculation of the correlation coefficient matrix were performed for seven categories and five for question items related to "Motor function" (question Nos. 9 to 13).

For statistical analysis, KyPlot 5.0 (KyensLab Inc.) was used. Varimax rotation was performed for the factor analysis using the maximum likelihood method.

#### Results

#### Correlation analysis

Table 2 shows the correlation coefficient matrix of the seven categories. The most positive correlation was about 0.41 between "Healthy life" and "Vitality". High positive correlations (0.24 to 0.26) were found between "Cognitive function" and "Mental state", between "Healthy life" and "Nutrition state", and between "Healthy life" and "Cognitive function".

Table 3 shows the correlation coefficient matrix for the five questions related to "Motor function". The questions No.12 and No.13 showed a high positive correlation of about 0.26, but the correlation coefficients between other question items were 0.14 or less.

# Factor analysis

Factor analysis for seven categories was performed with three factors. Figure 1 shows the factor loadings of the categories. As for factor 1, the factor loadings of "Healthy life" and "Vitality" were high, followed by the factor loadings of "Social participation" and "Cognitive function". Factor 2 had the highest factor loading in "Motor function", and factor 3 had higher factor loadings in "Nutrition status" and "Oral function" than other category.

Factor analysis for the five question items of "Motor function" was performed with three factors. Figure 2 shows the factor loadings of the question items. In factor 1, No. 12 and No. 13 had highvalues of factor loading. Factor 1 has a high factor loading for No. 9 and No. 10. In factor 3, only the factor loading for No.11 showed a high value.

#### Discussion

The results of factor analysis for the seven categories suggested that by setting the number of factors to three, the seven categories could be grouped into three groups (Figure 1). Thus, factor 1 has a large weight in the four categories of "Healthy life", "Social participation",

Category	Question		Answer	
Nutrition status	1. Have you lost weight by 2 kg or more in the past 6 months?	No	Yes	
	2. Do you daily eat any of meat, eggs, seafood or milk?	Yes	No	
Oral function	3. Can you eat foods as hard as dried squid or pickled dried radish?	Yes	No	
Healthy life	4. Do you think you are healthy in general?	Yes	No	
	5. Do you feel lately you have a poor memory?	Yes	no	
Cognitive function	6. Do you feel lately you have a poor memory?	No	Yes	
Social participation	7. Do you have connections with neighbors, such as residents' associations and volunteers?	Yes	No	
Vitality	8. Do you think you are full of vitality?		No	
Motor function	9. Can you open the plastic bottle lid easily?	Yes	No	
	10. During the 12 past months, have you fallen to the ground or floor?	No	Yes	
	11. Did you fail or just manage to enclose in the "Yubi-wakka" (finger-ring) test?	Yes	No	
	12. Did you have more than 30 seconds (male) or 23 seconds (female) to keep standing on one leg with your eyes open?	Yes	No	
	13. Did you take less than 4 seconds (male) or less than 4.2 seconds (female) when walking 5 m at normal speed?	Yes	No	

Table 1: Frailty First Checklist.

	Nutrition state	Oral function	Healthy life	Cognitive function	Social participation	Vitality	Motor function
Nutrition state	1.0000	0.1920	0.2499	0.0732	0.0756	0.1108	0.1261
Oral function	0.1920	1.0000	0.1077	0.0306	0.0124	-0.0326	0.2036
Healthy life	0.2499	0.1077	1.0000	0.2423	0.0966	0.4086	0.1939
Cognitive function	0.0732	0.0306	0.2423	1.0000	0.0924	0.2581	0.1295
Social participation	0.0756	0.0124	0.0966	0.0924	1.0000	0.0924	-0.0060
Vitality	0.1108	-0.0326	0.4086	0.2581	0.2094	1.0000	0.1991
Motor function	0.01261	0.2036	0.1939	0.1295	-0.0060	0.1991	1.0000

Table 2: Correlation coefficient matrix among seven categories.

"Cognitive function", and "Vitality". Furthermore, factor 2 extracted "Motor function" and factor 3 extracted "Nutrition status" and "Oral function" related to dietary habits. Comparing with the correlation coefficient matrix in Table 2, "Healthy life", "Social participation", "Cognitive function", and "Vitality" are strongly positively correlated with each other, and these are summarized as one factor as similar factors. The correlation coefficient between "Nutrition status" and "Oral function" extracted as factor 3 was about 0.19, which was

a relatively high value. On the other hand, "Motor function" was extracted as an independent factor in the factor analysis, but the correlation coefficient showed that the correlation coefficient with "Oral function" was relatively high at about 0.20. From these facts, it is considered that the association between the categories that cannot be grasped simply by the correlation coefficient was suggested by the factor analysis.

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		No. 9	No. 10	No. 11	No. 12	No. 13			
	No. 9	1.0000	0.1382	0.0231	0.0731	0.0515			
	No. 10	0.1382	1.0000	0.0019	0.1004	0.1149			
	No. 11	0.0231	0.0019	1.0000	0.0183	0.0478			
	No. 12	0.0731	0.1004	0.0183	1.0000	0.2643			
	No. 13	0.0515	0.1149	0.0478	0.2643	1.0000			

Table 3: Correlation coefficient matrix among five question items in the "Motor function" category.

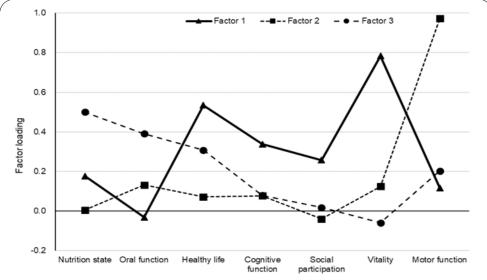
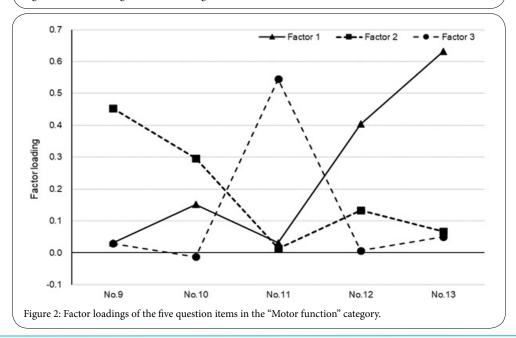


Figure 1: Factor loadings of the seven categories.



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In Figure 1, "Motor function" was extracted as an independent factor. Therefore, factor analysis and calculation of the correlation matrix for the five questions related to "Motor function" were performed (Table 2). In the factor analysis, factor 1 has a large weight of No. 12 and No. 13, which are considered to have extracted the lower limb motor function. For these two items, the correlation coefficient also showed the highest value of about 0.26 as shown in Table 3. Factor 2 had a large weight in No. 9 and No. 10, and the correlation coefficient of these two items was relatively high at about 0.14. No. 9 was a question about grip strength and No.10 was a question about easiness to fall. There was a report that grip strength was related to quadriceps muscle strength and foot grip strength [14], suggesting a common factor between two functions. Factor 3 had a high factor loading only in the calf circumference of No. 11, suggesting that it was an independent factor.

#### Conclusion

The validity of the question items and category classification of the simple frail checklist developed by Tama City was examined using correlation analysis and factor analysis. In the factor analysis of the seven categories, aspects related to social life of the frail, i.e., "Healthy life", "Social participation", "Cognitive function", and "Vitality", were extracted as factor 1, and "Motor function" as factor 2. Categories related to dietary habit such as "Nutrition status" and "Oral function" was extracted as factor 3.

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## **Competing Interests**

The authors declare that they have no competing interests.

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